Data-Driven Evaluation of Non-Rigid Registration and Appearance Models

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Abstract—The paper presents a generic approach, which can be used assess the quality of appearance models of the brain. Moreover, this approach is capable of assessing and comparing different non-rigid registration (NRR) algorithms without exploiting any form of ground truth. We base this approach on the observation that a statistical appearance model can be constructed from a set of non-rigidly registered images. A model can be evaluated by comparing images generated by it with the image set from which it was constructed. The quality of the model depends on the quality of its seminal registration. This also means that registration can be evaluated by constructing and evaluating models. Indices are derived which reflect on model specificity and generalisation. We show that these are surrogates of Shannon's entropy, which can directly be used to assess NRR. All of these measures are negatively affected as a set of correctly registered images is progressively perturbed. We compare our results against those which were obtained using overlap-based NRR assessment, which is based on ground truth anatomical labels. Finally, to demonstrate the practicality of these methods, different registration algorithms are compared in terms of their performance.

Index Terms—Non-rigid registration, ground-truth validation, registration assessment, appearance models, correspondence problem, minimum description length (MDL), Shannon's entropy.

I. INTRODUCTION

N ON-rigid registration is ubiquitously used as a basis for medical image analysis. Its applications include atlas matching, analysis of change [7], and structural analysis. A variety of approaches to NRR exist and they differ in terms of the objective function that defines mis-registration, the representation of spatial deformation fields, and the approach used to minimize mis-registration by selecting good deformations. Ideally, a composition of aggregated deoformations should bring a set of images into full alignment, which means that corresponding structures across those images overlap.

Most commonly, pairs of images are being registered [24] at any one time, though groups can be considered too [5]. In the

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former case, NRR is applied to just two images in isolation, whereas in the latter case, all the different images are handled simultaneously. This has real merits as, given a couple of very dissimilar images, the set as a whole can compensate, making its contribution in the form of additional information.

This under-constrained problem suffers from subjectivity in its solution, which comprises the set of spatial deformations. For any set of images to be registered, different approaches are likely to produce different results. The different objective functions have different minima, which is in direct effect of the way they define image similarity.

One obvious way to assessing a given solution is by making use of the ground truth solution. This idea is based on the principle that any solution can - in one way or another be numerically evaluated in terms of divergence from the correct solution. Several methods have been demonstrated, which work along these lines [10], [12], [20], [18]. These methods, however, require access to some form of ground truth, which is difficult to obtain. One approach involves the construction of artificial test data, which limits application to 'off-line' evaluation. That method also relies on conditions which are unrealistic, so should be taken with a grain of salt. Other methods can be applied directly to real data, but require that anatomical ground truth be provided, typically involving annotation by an expert. This makes validation expensive and prone to subjective error. In 3-D, matters become ever more complex. As the correct solution - that which is often based on anatomy - is indeed hard to obtain, NRR assessment without ground truth appears highly valuable.

We consider appearance model, which have been extensively used as the basis for interpretation by synthesis. Such models are derived from sets of training images and they capture statistics about variability within these sets. The model acquires knowledge from the training images and is able to use that knowledge in a variety of ways. Any set of images, which is used to construct an appearance model, is directly related to the model quality. When the images are not correspondent, the model is fuzzy and often invaluable. When the images are properly correspondent, the model is improved.

As NRR aims to bring sets of images to a state of full pixelto-pixel correspondence, the output of a good NRR algorithm builds a good model. We make use of this key observation and exploit the relationship between models and NRR. We use existing algorithms from both ends of the problem and unify them as to benefit from both. The paper presents a framework for building appearance models automatically and then evaluating them. In turn, this method enables the aessessment of NRR, which requires only the image data, and can therefore be applied routinely while oblivious to any form of ground truth. The method relies on the fact that, for a given a set of registered images, a statistical model of appearance can be constructed. When the registration is correct, the model provides the most concise description of the set of images. As the solution to NRR degrades, so does the performance of model sythesis. Thus, the quality of registration affects the quality of the resulting model and the model itself reflects on the quality of NRR, which makes evaluation of the two somewhat mutual.

The remainder of this paper is structured as follows: it begins by covering background on registration (assessment in particular) and statistical models. It outlines some existing NRR assessment methods, explains about the proposed methods, and presents results which support the ideas behind our new method. Validation experiments are then performed where brain models are advertently degraded, by mis-registering their training set. Our validation results confirm our method to in tight correlation with ground truth. We show this to be the case by using a genralised measure of label overlap, which uses hand-annotated brain anatomy. Lastly, several registration algorithms are compared to demonstrate one main application of our approach. We also show that group-wise registration algorithms produce better results than these of pair-wise equivalents.

II. BACKGROUND

A. Non-Rigid Registration

Medical image interpretation is a difficult problem due to the cross-invdividual anatomical variation. Additionally, there are factors such as the image acquisition error and soft tissue deformation. In order to perform analyses of medical images, there needs to be a degree of commonality across these images. Above all, the images must have spatial relationships between them identified. Only by identifying these relationship, can a one-to-one pixel correspondence be obtained. The establishment of inter-image correspondences is made possible owing to non-rigid registration (NRR).

NRR is a process where images get warped by means of spatial transformation and their similarity then measured. Warps are chosen which increase this similarity. A good registration algorithm is one which is able to select and apply the 'correct' composition of warps to the images and is able to faithfully estimate similarity between images. In the medical domain, however, there is rarely a soltution which is objective. There is no single algorithm to solving the NRR problem either. The different algorithms in existence use a different objective function. An objective function comprises the way spatial deformation fields are represented, a similarity measure of the method for selecting warps to maximise similarity.

Certain algorithms choose to warp one image at a time, fitting it to the another image in the set, which is known as the reference image or the template. Other algorithms rid the registration framweork from bias by comparing any image with the remainder of the set. The image is then not subjected to an arbitrary choice os a reference images. As many ways exist for registration of images, solutions are subjective. Each NRR algorithm will, in principle, lead to a different result, so the need to compare the algorithms becomes more apparent.

B. Assessment of Non-Rigid Registration

1) Deformation Fields Recovery: A common approach to assessment of the results of NRR involves the generation of test images. Such images are created by taking the original images and then applying known deformations to them. The process of evaluation is based on comparison between the deformation fields recovered by NRR and those which have originally been applied [18], [20]. This type of approach can be used to test NRR methods 'off-line'. It cannot, however, be used to evaluate the results when the method is applied to real data as part of a registration-based analysis. Moreover, such artificial deformations fail to resemble real situations where there is innate anatomical variation, which deformation are unable to capture. For instance, there may not be a oneto-one relationship if images were acuired from different subjects. This property cannot be emulated by any fundamental deformation field.

2) Overlap-based Assessment: The overlap-based approach involves measuring the overlap between of anatomical annotations before and after registration. A good NRR algorithm will be capable of aligning similar image intensities – in particular these which indicate the location of anatomical structures. Alignement of image intensities leads to better overlap between anatomical structures, so the two are closelycorrelated.

Similar approaches involve measurement of the misregistration of anatomical regions of significance [10], [12], and the overlap between anatomically equivalent regions obtained using segmentation. This process is either manual or semi-automatic [12], [18]. Although these methods cover a general range of applications, they are labour-intensive and are often prone to errors. They also rely one's ability to faithfully extract anatomical structures from image intensities alone.

This paper explores one such method, which assesses registration using the spatial overlap. The overlap is defined using Tanimoto's formulation of corresponding regions in the registered images. The correspondence is defined by labels of distinct image regions (in this case brain tissue classes), produced by manual mark-up of the original images (groundtruth labels). A correctly registered image set will exhibit high relative overlap between corresponding brain structures in different images and, in the opposite case – low overlap with non-corresponding structures. A generalised overlap measure [6] is used to compute a single figure of merit for the overall overlap of all labels over all subjects.

$$O = \frac{\sum_{pairs,k} \sum_{labels,l} \alpha_l \sum_{voxels,i} MIN(A_{kli}, B_{kli})}{\sum_{pairs,k} \sum_{labels,l} \alpha_l \sum_{voxels,i} MAX(A_{kli}, B_{kli})}$$
(1)

where i indexes voxels in the registered images, l indexes the label and k indexes the two images under consideration.



Fig. 1. The effect of varying the first, second, and third model parameters of a brain appearance model by ± 2.5 standard deviations

 A_{kli} and B_{kli} represent voxel label values in a pair of registered images and are in the range [0, 1]. The MIN() and MAX() operators are standard results for the intersection and union of a fuzzy set. This generalised overlap measures the consistency with which each set of labels partitions the image volume.

The parameter α_l affects the relative weighting of different labels. With $\alpha_l = 1$, label contributions are implicitly volume weighted with respect to one another. This means that large labels contibute more to the overall measure. We have also considered the cases where α_l weights for the inverse label volume (which makes the relative weighting of different labels equal), where α_l weights for the inverse label volume squared (which gives labels of smaller volume higher weighting) and where α_l weights for a measure of label complexity. We defined label complexity rather arbitrarily as the mean absolute voxel intensity gradient in the label.

More formulations of overlap, other than Tanimoto's, have also been investigated. Their results were shown to be less accurate and they are omitted in the interest of brevity.

C. Statistical Models of Appearance

Statistical models of shape and appearance (combined appearance models) were introduced by Cootes, Edwards, Lanitis and Taylor [2], [3], [9]. They have been applied extensively in medical image analysis [11], [16], [22] among other related domains. Brain morphometry has been one main point of focus while cardiac imaging incorporated a third and fourth dimension, which was a time series [21].

The construction of an appearance model depends on establishing a dense correspondence across a training set of images using a set of landmark points marked consistently on each training image.

Using the notation of Cootes [3], the shape (configuration

of landmark points) can be represented as a vector **x** and the texture (intensity values) represented as a vector **g**.

The shape and texture are controlled by statistical models of the form

$$\mathbf{x} = \overline{\mathbf{x}} + \mathbf{P}_s \mathbf{b}_s \mathbf{g} = \overline{\mathbf{g}} + \mathbf{P}_q \mathbf{b}_q$$
 (2)

where \mathbf{b}_s are shape parameters, \mathbf{b}_g are texture parameters, $\overline{\mathbf{x}}$ and $\overline{\mathbf{g}}$ are the mean shape and texture, and \mathbf{P}_s and \mathbf{P}_g are the principal modes of shape and texture variation respectively.

Since shape and texture are often correlated, we can take this into account in a combined statistical model of the form

where the model parameters c control the shape and texture simultaneously and Q_s , Q_g are matrices describing the modes of variation derived from the training set. The effect of varying one element of c for a model built from a set of 2D MR brain image is shown in Figure 1.

To generate the positions of points in an image we use

$$\mathbf{X} = T_{\mathbf{t}}\mathbf{x} \tag{4}$$

where x are the points in the model frame, X are the points in the image, and $T_t x$ applies a global transformation with parameters t. For instance, in 2D, $T_t x$ is commonly a similarity transform with four parameters describing the translation, rotation and scale.

The texture in the image frame is generated by applying a scaling and offset to the intensities, $\mathbf{g}_{im} = T_{gtrans}\mathbf{g}$ where \mathbf{u} is the vector of transformation parameters.

D. The Correspondence Problem

A very key step in construction of combined appearance models is that of identifying dense correspondence across a given set of training images. This is often achieved by marking up the training set by hand, simply identifying significant points in the images and interpolating between these points. In recent years, automation of this process was a problem of great interest. Denser correspondence, which is also accurare, builds a better model. However, that dense correspondence is arduous to obtain. In 3-D, identification of correspondences is hard to obtain objectively. More points of correspondence must be identified as well.

One approach to solving this problem authomatically is to use NRR and bring the images to alignment by optimising a similarity measure [11], [16]. A different approach refines initial estimates of the correspondence so as to code the set of images in the most efficient way [1]. We have recently outlined an approach which is based on optimising the total description length of the training set, using its model [23]. A model will be most concise when its training set is fully correspondent.

In Section IV our approach is validated by deliberately perturbing the correspondence in models, i.e. decreasing the registration. Such models were built using manual annotation that establishes a reliable correspondence. In Section V our approach is used to compare common registrations methods [11], [16], as well as our minimum description length approach.

III. EVALUATION METHOD

This section presents the evaluation method which can assess NRR in a model-based fashion. More broadly, it explains the use of the approach for evaluation of appearance models, which is turn makes ground-truth-free NRR assessment possible.

A. Specificity and Generalisation

Our approach to model evaluation is based on directly measuring key properties of a given model. An effective model is one which is able to generate a broad range of example of the class of modelled images. This property is referred to as *Generalisation ability*. This property is not sufficient since the model must also generate examples that are *consistent* with the class of modelled images. This property is referred to as *Specificity*.

Our approach to the assessment of NRR relies on the close relationship between registration and statistical model building, and extends the work of Davies et al. on evaluating shape models [8]. We note that NRR of a set of images establishes the dense correspondence which is required to build a combined appearance model. Given the correct correspondence, the model provides a concise description of the training set. As the correspondence is degraded, the model also degrades in terms of its ability to reconstruct images of the same class, not in the training set (Generalisation), and its ability to only synthesise new images similar to those in the training set (Specificity). If we represent training images and those synthesised by the model as points in a high dimensional space, the clouds represented by training and synthetic images ideally overlap fully (see Figure /refhyperspace). Given a measure of the distance between images (as described in the next subsection), Specificity, S, Generalisation, G, and their standard errors σ_S and σ_G can be defined as follows:

Let $\{I_a(X_0) : a = 1, ..., m\}$ be a large image set which has been sampled from the model and has the same distribution as the model. The distance between two images is described by $|\cdot|$ which allows us to define:

$$G = \frac{1}{n} \sum_{i=1}^{n} \min_{j} |I_i - I_j|,$$
 (5)

$$S = \frac{1}{m} \sum_{j=1}^{m} \min_{i} |I_{i} - I_{j}|.$$
 (6)

$$\sigma_{\mathcal{G}} = \frac{SD(\min_{j} |I_i - I_j|)}{\sqrt{n-1}},\tag{7}$$

$$\sigma_{\mathcal{S}} = \frac{SD(\min_{j} |I_{i} - I_{j}|)}{\sqrt{m-1}}.$$
(8)

where $\{I_j : j = 1..m\}$ is a large set of images sampled from the model, $|\cdot|$ is the distance between two images and SD is standard deviation.



Fig. 2. The model evaluation framework: A model is constructed from the training and images are generated from the model. Each image is vectorised embedded in hyperscape. Many such points can be visualised as a cloud.



Fig. 3. Representation in hyperspace of the model metrics calculation method

Both values are low for a good model. Specificity measures the mean distance between images generated by the model and their closest neighbours in the training set, whilst Generalisation measures the mean distance between images in the training set and their closest neighbours in the synthesised set. The approach is illustrated diagrammatically in Fig. 3.

B. Entropic Graphs

According to our definition of Specificity and Generalisation, only nearest image distances are accounted for. This prevents us from attaining a robust measure that is dependent upon the set of images as a whole. We then come to consider K nearest neighbours (kNN) wherein *several* matches that are near contribute to the measure. As image distances can be perceived as a graph with a network of distances between nodes, we make use entropic graphs as proposed by Hero *et al.* [13]. Rather than dealing with two isolated and reciprocal measures like Specificity and Generalisation, overlap between the data cloud can be estimated using an approxmation of Shannon's entropy. We adopt Jensen's dissimilarity measure, which is defined thus

xxxx formula xxxx

where ...

In our experiments, Minimal spanning tree (MST) with one nearest node.

Fig. 4. Specificity, Generalisation and graph entropy and their corresponding error bars for degraded registration



Fig. 5. The calculation of a shuffle difference image

The results indicate that entropy is by all means a good surrogate of Specificity and Generalisation. We also consider it to be a more principled way of meausring such value and it incopropried normalisation. See Fig. 4.

C. Measuring Distances in Between Images

The most straightforward way to measure the distance between images is to treat each image as a vector formed by concatenating the pixel/voxel intensity values, then take the Euclidean distance. Although this has the merit of simplicity, it does not provide a very well-behaved distance measure since it increases rapidly for quite small image misalignments. This observation led us to consider an alternative distance measure, based on the 'shuffle difference', inspired by the 'shuffle transform' [14]. The idea is illustrated in Figure 5. Instead of taking the sum of squared differences between corresponding pixels, the minimum absolute difference between each pixel in one image and the values in a shuffle neighbourhood around the corresponding pixel is used. This is less sensitive to small misalignments, and provides a more well-behaved distance measure.

On several occassions, we also considered the symmetrical shuffle distance (Figure 6, which applies the shuffle transform in both direction and averages over the two products. We noted that it entailed no significant improvement. Therefore, experiments in the remainder of this paper choose one image and compute the shuffle distance in just one direction, which is efficient.

IV. VALIDATION OF THE APPROACH

A. Annotated Brain Data

The overlap-based and model-based approaches were validated and compared, using a dataset consisting of 36 transaxial mid-brain slices, extracted at equivalent levels from a set of T1-weighted 3D MR scans of different subjects. Brain images were annotated with eight tissue classes including gray, white matter, the caudate nucleus and CSF (both left and right)



Fig. 6. An example of the shuffle difference image from one image to a second image (left), from the second image to the first (centre), and the symmetrical shuffle distance image (right)



Fig. 8. An example brain image and its accompanying anatomical labels, which include the whitematter, graymatter, caudate nucleus, and lateral ventricle

that provided the ground truth for image correspondence. Initially, the images were brought into alignment using an NRR algorithm based on the MDL optimisation.

B. Perturbing Ground Truth

A test set of different registrations was then created by applying smooth pseudo-random spatial warps (based on biharmonic Clamped Plate Splines) to each image in the registered set. Each warp was controlled by 25 randomly placed knot-points, each displaced in a random direction by a distance drawn from a Gaussian distribution whose mean controlled the average magnitude of pixel displacement over the whole image. Registration quality was measured, for each level of registration degradation (perturbation), using several variants of each of the proposed assessment methods.

Overall, the above approach was applied 10 times using 10 different random seeds to ensure that both methods are consistent and the results unbiased. The 10 different warp instantiations were generated for each image for each of seven progressively increasing values of average pixel displacement. Figure 9 provided examples from the data as perturbation extent is increased.

C. Validation Results

Results of the proposed measures for increasing registration perturbation are shown in Figures 11 and 12. Note that Generalisation and Specificity plotted for different shuffle neighbourhood radius are in error form, i.e. they increase with decreasing performance. Also shown are Figure 10 results from the overlap-based measure, which computes the measure that is based on ground truth.



Fig. 7. A comparison between shuffle distance evaluation types. On the left: original image; on the right: warped image; in the centre (from left): shuffle distance with r = 0(absolute difference), 1.5, 2.9 and 3.7.



Fig. 9. Examples of registration degradation for increasing scales of smooth CPS warps. Mean pixel displacement for each image is shown at its top.

All metrics are generally well-behaved and show a monotonic decrease in registration performance. Such results directly validate the model-based metrics, which are shown be in agreement with the ground truth embodied in the region overlap based measure.

1) Effects of the Shuffle Transform: The experiment described in the previous section was repeated for shuffle neighbourhoods of 1x1 (Euclidean distance), 3x3, 5x5, and 7x7, to test the hypothesis that this would extend the range over which different degrees of mis-registration could be discriminated.

V. APPLICATIONS OF THE APPROACH

2) Overlap-based Assessment Eighting Variants: hmph.

A. Comparing Different Methods of NRR

A common task in medical image analysis is the estimation of correspondences across a group of images, to allow mapping of effects into a common co-ordinate frame when performing population studies. A widely used approach is to use a non-rigid registration algorithm to map a chosen reference image onto each example, defining the correspondence



Fig. 10. Overlap (with corresponding error bars) of brains as their registration degrades



Fig. 11. Generalisation (with corresponding error bars) of brains as their registration degrades



Fig. 12. Specificity (with corresponding error bars) of brains as their registration degrades

across the group [16]. However, it has been argued [5] that this pairwise approach does not take advantage of the full information in the group, and thus may lead to sub-optimal registration. We have been investigating groupwise methods of registration which aim to make the best use of the group as a whole when estimating the correspondence. We work within a minimum description length (MDL) framework. The aim is to construct a statistical appearance model which can exactly synthesize each example in the training set as efficiently as possible [23]. It has been observed that the more the compact the representation, the better the correspondences. The general approach is to define a deformation field between reference frame and each training image. For a given choice of sets of fields, one can compute the cost of encoding the images (a combination of the coding cost of the model, the cost of the parameters and the cost of residuals between the synthesized images and the training images). The effect on this total description length of modifying the deformation fields can be evaluated - the correspondence problem becomes a (very high dimensional) optimisation problem. Within this general framework we compare three different approaches (for details see [23]):

- 1) Pairwise registration, using the first image as a reference
- Groupwise registration in which the reference model is just the current mean of the shape and intensities across the training set, and no constraints are placed on the deformations
- Groupwise registration to the mean including a term encouraging a compact representation of the set of deformations.

Though the algorithms will work in 3D, for the evaluation experiments we concentrate on a 2D implementation (allowing more large-scale experiments to be performed). We have a dataset of 104 3D MR images of normal brains¹, which

¹The age matched normals in a dementia study generously provided by X (*anonymised*).



Fig. 14. Sensitivity of differnent NRR assessment methods

have been affine aligned and a single slice at equivalent location extracted from each. Fig. 5 (left) shows examples of extracted slices. In order to evaluate the different registration algorithms outlined above, we register the 104 2D slices using the different techniques, construct statistical models from them and calculate the specificity and generalisation measures.

The results of assessing the generalisation and specificity for each of the three models is shown in Fig. 9. This shows that the full groupwise method is better than the partial method (without shape constraints), which in turn is better than a simple pairwise approach. The evaluation technique allows us to compare different algorithms and make quantitative judgements on the effect of different approaches.

B. Results

The results of the experiment to test the effect of increasing mis-registration were shown in Figure 11 and Figure 12. These demonstrates that, for all sizes of shuffle neighbourhood, the specificity and generalisation values increase (get worse) with increasing mis-registration.

The results for different sizes of shuffle neighbourhood demonstrate that the range of mis-registration over which distinct values of specificity and generalisation are obtained increases as the neighbourhood size increases.

The results of the comparison between three different methods of NRR are shown in Figure XXXXX These show that, particularly in terms of specificity, we can distinguish between the three approaches, with the fully groupwise method performing best, as anticipated. A model built using this approach is shown in Figure XXX.

VI. COMPARING NRR ASSESSMENT METHODS

A. Sensitivity Measures

Equation - deltas......

Fig. 15. The first mode of an appearance model of the brain whose training set was subjected to deformation. ± 2.5 standard deviations are shown.



Fig. 16. Appearance model which was built automatically by group-wise registration. First mode is shown, ± 2.5 standard deviations.

VII. ASSESSING AND COMPARING NRR ALGORITHMS

To compare methods of NRR we took 104 brain volume Slided through them after affine registration Registered using different algorithms

VIII. DISCUSSION AND CONCLUSIONS

We have introduced a model-based approach to assessing the accuracy of non-rigid registration, without the need for ground truth. The validation experiments, based on perturbing correspondences obtained using ground truth, show that we are able to detect increasing mis-registration using just the registered image data. The results obtained for different sizes of shuffle neighbourhood show that the use of shuffle distance rather than Euclidean distance improves the range of misregistration over which we can detect significant changes in registration accuracy. We have also shown that the approach is capable of detecting statistically significant differences in registration accuracy between three different (plausible) approaches to NRR.

We believe that this represents an important advance in the assessment of NRR, because it establishes an entirely objective basis for evaluating the reliability of NRR-based experiments, and for comparing the performance of different methods of NRR. The fact that no ground truth data is required means that the method can be applied routinely. Further work is needed to compare the results obtained using our new approach with those obtained using more sophisticated segmentation-based methods of evaluation.

Fig. 17.



Fig. 18. Specificity and generalisation of the three registration methods

APPENDIX I DERIVATION OF....

Appendix one text goes here.

Appendix II

Appendix two, if exists, goes here.

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Roy Schestowitz Biography text here. Example excludes photo.

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